STATEMENT OF FINANCIAL INTEREST

State/District officials file with: John Thurston, Secretary of State 500 Woodlane Street Little Rock, AR 72201 Phone (501) 682-5070

Fax (501) 682-3548

Calendar year covered _____ (Note: Filing covers the previous calendar year)

For assistance in completing this form contact: Arkansas Ethics Commission Phone (501) 324-9600 Toll Free (800) 422-7773

Is this an amendment? \square Yes \square No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

lame				
	(Last)	(First)		(Middle)
none	(Street or P.O. Box Number)	(City)	(State)	(Zip Code)
	s name			
	(Last) es under which you and/or your spouse do business:	(First)		(Middle)
ECTI	ON 2- REASON FOR FILING			
]	Public Official			
]	Candidate	(office held)		
]	District Judge	(office sought)		
]	(name of district) City Attorney			
1		(name of city)		
]	State Government: Agency Head/Department Direct Chief of Staff or Chief Deputy		(name of agency/	/department/division)
	Chief of Staff or Chief Deputy(name of			
]	Public appointee to State Board or Commission			
	(nam	ne of school district)		
	Candidate for school board	ne of school district)		
]	Public or Charter School Superintendent			
	Executive Director of Education Service Cooperativ	<i>r</i> e		
]	Advertising and Promotion Commission member	(nai	me of cooperative)	
]	Research Park Authority Board member under A.C	(name of adv		
			(name of resear	rch park authority board)

SECTION 2- REASON FOR FILING (continued) Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission): ☐ Planning board or commission ☐ Airport board or commission _____ ☐ Water or Sewer board or commission _____ ☐ Utility board or commission _____ ☐ Civil Service commission _____ **SECTION 3- SOURCE OF INCOME** List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct. ☐ More than \$12,500 ☐ More than \$1,000 a) Check appropriate box: (name of employer or source of income) (address) (name under which income received) Provide a brief description of the nature of the services for which the compensation was received ______ ☐ More than \$1,000 ☐ More than \$12,500 b) Check appropriate box: (name of employer or source of income) (address) (name under which income received) Provide a brief description of the nature of the services for which the compensation was received c) Check appropriate box: ☐ More than \$1.000 ☐ More than \$12.500

Provide a brief description of the nature of the services for which the compensation was received _____

(name of employer or source of income)

(address)

(name under which income received)

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
		(name of corporation	, firm or enterprise)			
		(addr	ess)			
		(name under which	investment held)			
b)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
	(name of corporation, firm or enterprise)					
		(addr	ess)			
		(name under which	investment held)			
c)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
	(name of corporation, firm or enterprise)					
	(address)					
	(name under which investment held)					
d)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
	(name of corporation, firm or enterprise)					
	(address)					
		(name under which	investment held)			
e)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
	(name of corporation, firm or enterprise)					
	(address)					
	(name under which investment held)					
f)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500			
	(name of corporation, firm or enterprise)					
		(address)				
		(name under which	investment held)			

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or y	your spouse in any business.	, corporation, firm, or	enterprise subject to j	urisdiction of a
regulatory agency of this State, or of any of its p	oolitical subdivisions.			

a)		
	(name of bus	siness, corporation, firm, or enterprise)
		(address)
	((office or directorship held)
		(name of office holder)
b)		
		siness, corporation, firm, or enterprise)
		(address)
	((office or directorship held)
		(name of office holder)
SEC'	CCTION 6- CREDITORS	
outst		lars (\$5,000) or more was personally owed or personally obligated and is still ers of your family or loans made in the ordinary course of business by either a narily extends credit.)
a)		
		(name of creditor)
b)		(address of creditor)
<u> </u>		(name of creditor)
		(address of creditor)
c)		(name of creditor)
		(address of creditor)
SEC'	CCTION 7- PAST-DUE AMOUNTS OWED TO GO	<u>OVERNMENT</u>
	st the name and address of each governmental body to venature of the amount of the obligation.	which you are legally obligated to pay a past-due amount and a description of
a)	((((((((((((((((((((
	(name of governmental body)	(address of governmental body)
b)	(amount owed)	(nature of the obligation)
	(name of governmental body)	(address of governmental body)
	(amount owed)	(nature of the obligation)

SECTION 8- GUARANTOR OR CO-MAKER

(date)

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarant extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disc	
a)	
(name)	
(address)	
b)(name)	
(address)	
SECTION 9- GIFTS	
List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 receives your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given there are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within terms from the date the item was received.)	payment, for." There Financial ic servant
a)(description of gift)	
(date) (fair market value)	
(source of gift)	
b)	
(description of gift)	
(date) (fair market value)	
(source of gift)	
c)	
(description of gift)	
(date) (fair market value)	
(source of gift)	
d)	
(description of gift)	
(date) (fair market value)	
(source of gift)	
e)(description of gift)	
(description of gift)	

(source of gift)

(fair market value)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive lifelong learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

	(description of award)
(date)	(fair market value)
	(source of award)
b)	
	(description of award)
(date)	(fair market value)
	(source of award)
c)	(description of award)
	description of awardy
(date)	(fair market value)
	(source of award)
d)((description of award)
(date)	(fair market value)
	(source of award)
SECTION 11- NONGOVERNMENTAL SOURCES OF	PAYMENT
List each nongovernmental source of payment of your expension when you appear in your official capacity when the expenses	nses for food, lodging, or travel which bears a relationship to your offices incurred exceed \$150.
a)	
(name of person	or organization paying expense)
	(business address) \$
(date of expense)	(amount of expense)
(na	ture of expenditure)
b)(name of person	or organization paying expense)
	(business address)
(date of expense)	(amount of expense)
	ature of expenditure)

SECTION 12- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.
a)
(name of business)
(governmental body which regulates or controls)
b)
(name of business)
(governmental body which regulates or controls)
c)
(name of business)
(governmental body which regulates or controls)
d)
(name of business)
(governmental body which regulates or controls)
SECTION 13- SALES TO GOVERNMENTAL BODY List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.
a)(goods or services)
(governmental body to whom sold)
(compensation paid)
b)(goods or services)
(governmental body to whom sold)
(compensation paid)
c)(goods or services)
(governmental body to whom sold)
(compensation paid)
(goods or services)
(governmental body to whom sold)
(compensation paid)

SECTION 14- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

	Signature
TATE OF ARKANSAS OUNTY OF	
ubscribed and sworn before me this day of	, 20
(Legible Notary Seal)	Notary Public
ly commission expires:	
Note: If faxed, notary seal must be legible (i.e.,	either stamped or raised and inked) and the original must follow

IMPORTANT

within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

Where to file:

State or district candidates/public servants file with the Secretary of State.

Appointees to state boards/commissions file with the Secretary of State.

County, township, and school district candidates/public servants file with the county clerk.

Municipal candidates/public servants file with the city clerk or recorder, as the case may be.

City attorneys file with the city clerk of the municipality in which they serve.

District judges file with the Secretary of State.

Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the <u>previous</u> calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.